

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



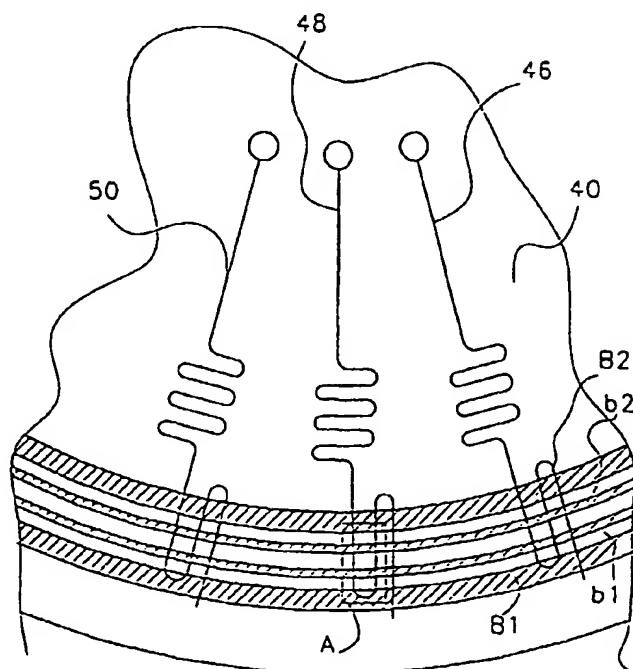
(43) International Publication Date
30 May 2002 (30.05.2002)

PCT

(10) International Publication Number
WO 02/41997 A1

- (51) International Patent Classification⁷: **B01L 3/00**
- (21) International Application Number: PCT/SE01/02607
- (22) International Filing Date:
23 November 2001 (23.11.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
0004296-0 23 November 2000 (23.11.2000) SE
- (71) Applicant (for all designated States except US): **GYROS AB** [SE/SE]; Uppsala Science Park, S-751 83 Uppsala (SE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **KYLBERG, Gunnar** [SE/SE]; Svedjevägen 15, S-167 54 Bromma (SE).
- (74) Agent: **BERGANDER, Håkan**; Uppsala Science Park, S-751 83 Uppsala (SE).
- (81) Designated States (national): AU, CA, JP, US.
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).
- Published:
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DEVICE AND METHOD FOR THE CONTROLLED HEATING IN MICRO CHANNEL SYSTEMS



(57) Abstract: A method of controlled heating of a micro channel reactor structure (46, 48, 50) comprises providing a structure (b1, b2, B1, B2) defining a desired temperature profile. A preferred embodiment of a heating element structure comprises a pattern of areas of a material capable of providing heat when energized, disposed over said micro channel reactor structure.

WO 02/41997 A1

DEVICE AND METHOD FOR THE CONTROLLED HEATING IN MICRO CHANNEL SYSTEMS

The present invention relates to methods and devices for the controlled heating, in particular
5 of liquid samples in small channels that are present within a substrate.

Background of the Invention

There is a trend in the chemical and biochemical sciences towards miniaturization of systems
10 for performing analytical tests and for carrying out synthetic reactions, where large numbers of reactions must be performed. For example in screening for new drugs as many as 100000 different compounds need to be tested for specificity by reacting with suitable reagents.

Another field is polynucleotide amplification, which has become a powerful tool in
15 biochemical research and analysis, and the techniques therefor have been developed for numerous applications. One important development is the miniaturization of devices for this purpose, in order to be able to handle extremely small quantities of samples, and also in order to be able to carry out a large number of reactions simultaneously in a compact apparatus.

20 In most systems for the purposes indicated above (and others not mentioned) there would commonly be a need for heating the reagents in some stage of the procedure for carrying out the necessary reactions. Even more importantly there is also a need for maintaining the reaction temperature at a constant level during a desired period of time, i.e. to avoid variations in temperature across the channel part containing the reagents that have been heated (reactor
25 volume).

Furthermore, in these miniaturized systems the temperature of the sample will essentially be determined by the temperature of the wall confining the sample. Thus, if the material constituting the wall leads away heat, there will be a temperature drop close to the wall, and a
30 variation throughout the sample occurs.

There is also a problem with evaporation when heating small aliquots of liquids within micro channel structures. This problem can be solved by providing heating means in the form of a

surface layer that is capable of absorbing light energy for transport into a selected area. See WO 0146465 (Fig. 7 and related disclosure). Conveniently white light is used, but for special purposes, monochromatic light (e.g. laser) can also be used. The layer can be a coating of a light-absorbing layer, e.g. a. black paint, which converts the influx of light to heat.

5

An alternative solution to the evaporation problem has been to carry out the steps involving elevated temperature (heating steps) within closed reaction volumes. This has required solving problems related the large pressure increase that typically is at hand when heating liquid aliquots without venting. If the process concerned is integrated into a sequence of reactions there is a demand for smart valving solutions.

10

In many of the prior art devices the substrate material has had a fairly high thermal conductivity which has permitted heating by ambient air or by separate heating elements in close association with the inner wall of the channel containing a liquid to be heated. Cooling has typically utilized ambient air. Recently it has become popular to manufacture micro channel structures in plastic material that typically has a low thermal conductivity. Due to the poor thermal conductivity, unfavorable temperature gradients may easily be formed within the selected area when this latter type of materials is used. These gradients may occur across the surface and downwards into the substrate material. The variation in temperature may be as high as 10°C or more between the center of the area or region and its peripheral portions. If the light absorbing area is too small this variation will be reflected in the temperature profile within a selected area and also within the heated liquid aliquot. For many chemical and biochemical reactions such lack of uniformity can be detrimental to the result, and indeed render the reaction difficult to carry out with an accurate result.

15
20
25

Although the heating means according to WO 0146465 eliminates the evaporation and the pressure problem, it still suffers from the above-mentioned temperature variation across the sample. Such temperature variations are often detrimental to the outcome of a reaction and must be avoided.

30

Microfluidic platforms that can be rotated comprising heating elements have been described in WO 0078455 and WO 9853311. These platforms are intended for carrying out reactions at elevated temperature, for instance thermal cycling.

Summary of the Invention

5 In view of the shortcomings of prior art systems, it would be desirable to have access to a device for performing chemical/biochemical reactions/analyses, such as but not limited to, polynucleotide amplification reactions, in which controlled heating of the reactants in a small reaction volume, e.g. a capillary, can be performed without causing the uncontrolled evaporation discussed above, and where the temperature can be maintained at a constant level
10 throughout the reaction volume. The object of the invention is thus to accomplish a proper balance between influx of heat and cooling so that a liquid aliquot in a micro channel can be quickly heated and maintained at a uniform temperature for well defined time intervals.

The above indicated object can be achieved in accordance with the present invention by a
15 method of controlled heating as claimed in claims 1-10, and a micro channel reactor system as claimed in claims 11-20. In further aspects the invention provides a heating structure, as claimed in claim 21-26, a rotatable disc as claimed in claims 27-29. In a preferred embodiment the system is implemented by employing a rotating microfluidic disc. Such devices employ centrifugal force to drive sample and reagent through the system of channels and reaction
20 chambers. Spinning assists in establishing the proper heat balance to maintain a uniform temperature within the reactor.

In the context of the invention the term "selected area" means the selected surface area to be heated plus the underlying part of the substrate containing the reactor volume of one or more
25 micro channels if not otherwise being clear from the particular context. The selected area contains substantially no other essential parts of the micro channels. The term "surface" will refer to the surface to be heated, e.g. the surface collecting the heating irradiation, if not otherwise indicated.

30 By the terms "heating structure", "heating element structure" and "heating element" are meant a structure which is present in or on a selected area; or between the substrate and a radiation source, and which defines a pattern which (a) covers a selected area and (b) can be selectively heated by electromagnetic radiation or electricity, such as white or visible light or only IR, or

by direct heating such as electricity. In this context the term “pattern” means (1) a continuous layer, or (2) a patterned layer comprising one or more distinct parts that are heated and one or more distinct parts that are not heated. (b) excludes that the pattern consists of only the part that is heated.

5

A preferred variant of a heating structure is given in claims 21-26.

The invention will now be described in detail with reference to the attached drawings.

10 **Brief Description of the Drawings**

Fig. 1 a-d illustrates a prior art microfluidic disc;

Fig. 2a-b illustrates a prior art device with (a) a heating structure and (b) a temperature profile
15 across the selected area during heating;

Fig. 3a-c illustrates the difference between (a) a prior art surface temperature profile and (b) a
desired surface temperature profile according to the invention, and a typical temperature
profile between the opposing surfaces of a selected area made of plastic material;

20

Fig. 4a-e exemplifies various micro channel structures to which the invention is applicable;

Fig. 5a-b illustrates a microfluidic disc and an embodiment of a heating element structure
according to the invention;

25

Fig. 6a-b illustrates a further embodiment of a heating element structure and the obtainable
temperature profile;

Fig 7a-c illustrates still another embodiment of a reactor system and an inventive heating
30 element structure and the obtainable temperature profile;

Fig. 8a-c is a further embodiment implemented for another geometry;

Fig. 9a-b are embodiments of a resistive heating element structure according to the invention; and

Fig. 10a-b illustrates means for controlling the flanks of the temperature profile.

5

Detailed Description of the Invention

For the purpose of this application the term "micro channel structure" as used herein shall be taken to mean one or more channels, optionally connecting to one or more enlarged portions forming chambers having a larger width than the channels themselves. The micro channel structure is provided beneath the surface of a flat substrate, e.g. a disc member.

The terms "micro format", "micro channel" etc contemplate that the micro channel structure comprises one or more chambers/cavities and/or channels that have a depth and/or a width that is $\leq 10^3$ μm , preferably $\leq 10^2$ μm . The volumes of micro cavities/micro chambers are typically ≤ 1000 nl, such as ≤ 500 nl or ≤ 100 nl or ≤ 50 nl. Chambers/cavities directly connected to inlet ports may be considerably larger, e.g. when they are intended for application of sample and/or washing liquids.

In the preferred variants volumes of the liquid aliquots used are very small, e.g. in the nanoliter range or smaller (≤ 1000 nl). This means that the spaces in which reactions, detections etc are going to take place often becomes more or less geometrically indistinguishable from the surrounding parts of a micro channel.

A reactor volume is the part of a micro channel in which the liquid aliquot to be heated is retained during a reaction at an elevated temperature. Typically reaction sequences requiring thermal cycling or otherwise elevated temperature take place in the reaction volume.

The disc preferably is rotatable by which is meant that it has an axis of symmetry (C_n) perpendicular to the disc surface. n is an integer 3, 4, 5, 6 or larger. The preferred discs are circular, i.e. $n = \infty$. A disc may comprise ≥ 10 such as ≥ 50 or ≥ 100 or ≥ 200 micro channels, each of which comprising a cavity for thermo cycling. In case of discs that can rotate, the micro channels may be arranged in one or more annular zones such that in each zone the cavities for thermo cycling are at the same radial distance. By the expressions "essentially uniform temperature profile" and "constant temperature" are meant that temperature variations within a selected area of the substrate are within such limits

that a desired temperature sensitive reaction can be carried out without undue disturbances, and that a reproducible result is achievable. This typically means that within the reaction volume, the temperature varies at most 50 %, such as at most 25 % or at most 10 % or 5 % of the maximum temperature difference between the opposing surfaces of the selected area comprising the heated liquid aliquot. These permitted variations apply across a plane that is parallel to the surface and/or along the depth of the micro channel. The acceptable temperature variation may vary from one kind of reaction to another, although it is believed that the acceptable variation normally is within 10°C, such as within 5°C or within 1°C.

10 The present invention suitably is implemented with micro channel structures for a rotating microfluidic disc of the kind, but not limited thereto, disclosed in WO 0146465, and in Fig. 1 in the present application, there is shown a device according to said application. However, it is to be noted that this is only an example and that the present invention is not limited to use of such micro channel structures.

15 The micro channel structures K7-K 12 according to this known device, shown in figures 1 a-d, are arranged radially on a microfluidic disc D. Suitably the microfluidic disc is of a one- or two-piece moulded construction and is formed of an optionally transparent plastic or polymeric material by means of separate mouldings which are assembled together (e.g. by heating) to provide a closed unit with openings at defined positions to allow loading of the device with liquids and removal of liquid samples. See for instance WO 0154810 (Gyros AB). Suitable plastic or polymeric materials may be selected to have hydrophobic properties. In the alternative, the surface of the micro channels may be additionally selectively modified by chemical or physical means to alter the surface properties so as to produce localised regions of hydrophobicity or hydrophilicity within the micro channels to confer a desired property. Preferred plastics are selected from polymers with a charged surface, suitably chemically or ion-plasma treated polystyrene, polycarbonate or other rigid transparent and non-transparent polymers (plastic materials). The term "rigid" in this context includes that discs produced from the polymers are flexible in the sense that they can be bent to a certain extent. Preferred plastic materials are selected from polystyrenes and polycarbonates. In case the process taking place within the micro channel structure requires optical measurement, for instance of fluorescence, the preferred plastic materials are based on monomers only containing saturated hydrocarbon groups and polymerisable unsaturated hydrocarbon groups, for instance

Zeonex® and Zeonor®. Preferred ways of modifying the plastics by plasma and by hydrophilization are given in WO 0147637 (Gyros AB) and WO 0056808 (Gyros AB).

The micro channels may be formed by micro-machining methods in which the micro-channels
5 are micro-machined into the surface of the disc, and a cover plate, for example, a plastic film is adhered to the surface so as to close the channels. Another method that is possible is injection molding. The typical microfluidic disc D has a thickness, which is much less than its diameter and is intended to be rotated around a central hole so that centrifugal force causes fluid arranged in the micro channels in the disc to flow towards the outer periphery of the disc.

10 In the embodiment of the present invention shown in figures 1a-1d, the micro channels start from a common, annular inner application channel 1 and end in common, annular outer waste channel 2, substantially concentric with channel 1. It is also possible to have individual application channels (waste channels for each micro channel or a group of micro channels). Each inlet opening 3 of the micro channel structures K7-K 12 may be used as an application
15 area for reagents and samples. Each micro channel structure K7-K12 is provided with a waste chamber 4 that opens into the outer waste channel 2. Each micro channel K7-K12 forms a U-shaped volume defining configuration 7 and a U-shaped chamber 10 between its inlet opening 3 and the waste chamber 4. The normal desired flow direction is from the inlet opening 3 to the waste chamber 4 via the U-shaped volume-defining configuration 7 and the U-shaped
20 chamber 10. Flow can be driven by capillary action, pressure, vacuum and centrifugal force, i.e. by spinning the disc. As explained later, hydrophobic breaks can also be used to control the flow. Radially extending waste channels 5, which directly connect the annular inner channel 1 with the annular outer waste channel 2, in order to remove an excess fluid added to the inner channel 1, are also shown.

25

Thus, liquid can flow from the inlet opening 3 via an entrance port 6 into a volume defining configuration 7 and from there into a first arm of a U-shaped chamber 10. The volume-defining configuration 7 is connected to a waste outlet for removing excess liquid, for example, radially extending waste channel 8 which waste channel 8 is preferably connected to
30 the annular outer waste channel 2. The waste channel 8 preferably has a vent 9 that opens into open air via the top surface of the disk. Vent 9 is situated at the part of the waste channel 8 that is closest to the centre of the disc and prevents fluid in the waste channel 8 from being sucked back into the volume-defining configuration 7.

The chamber 10 has a first, inlet arm 10a connected at its lower end to a base 10c, which is also connected to the lower end of a second, outlet arm 10b. The chamber 10 may have sections I, II, III, IV which have different depths, for example each section could be shallower than the preceding section in the direction towards the outlet end, or alternatively sections I and III could be shallower than sections II and IV, or vice versa. A restricted waste outlet 11, i.e. a narrow waste channel is provided between the chamber 10 and the waste chamber 4. This makes the resistance to liquid flow through the chamber 10 greater than the resistance to liquid flow through the path that goes through volume-defining configuration 7 and waste channel 8.

By introducing a well defined volume of sample that will just about fill one U shaped volume of this configuration, it will be possible to confine this sample within the portion of the micro channel structure that is defined by said U, by spinning the disc, and thus impose a simulated gravity. If the spinning speed is sufficient, the force imposed will force the condensed droplets back into the reaction volume. If heating is applied locally and the material of the disc has a low thermal conductivity, for instance plastics, a steep decreasing temperature gradient will form between the heated and non-heated area. The upper part of the arms will act as a cooler and assist in counteracting evaporation. The need for securing evaporation losses by closing the system can be avoided. Thus, in fact the U shaped volume will be an effective reaction chamber for the purpose of thermal cycling, e.g. for performing polynucleotide amplification by thermal cycling.

The term "U-shaped" includes also other shapes in which the channel structure comprises a bent towards the periphery of the disc and two inwardly directed arms, for instance Y-shaped structures where the downward part is pointing towards the periphery of the disc and comprises a valve function that is closed while heating at least the lower part of the upwardly directed arms.

However, it is equally possible to use a micro channel structure without the above discussed U-configuration, namely by employing a straight, radially extending channel, but provided with a stop valve at the end closest to the disc circumference. A valve suitable for this purpose is disclosed in SE-9902474-7, the disclosure of which is incorporated herein in its entirety.

Such a valve operates by using a plug of a material that is capable of changing its volume in response to some external stimulus, such as light, heat, radiation, magnetism etc. Thus, by introducing a sample in a capillary at a desired location, sealing the capillary at the outermost end position of the sample, and spinning the disc, the sample will be held in place, and
5 uncontrolled evaporation during heating can be controlled in the same way as in the embodiment employing a U-configuration.

Also mechanical valves can be used in the variants mentioned above.

10 However, as indicated above, it is essential that a uniform temperature level can be maintained locally in the entire reaction volume preferably with a steep temperature gradient to the non-heated parts of the microfluidic substrate. Such controlled heating is conveniently performed by a heating system and method according to the present invention, embodiments of which will now be described in detail below. The heating system referred to in this
15 paragraph may be based on contact heating or non-contact heating.

Fig. 2a shows a micro channel structure having a U configuration 20 provided on a microfluidic disc of the type discussed previously, which is covered by a light absorbing area 22 for the purpose of heating. Fig. 2b shows a temperature profile across said light absorbing
20 area along the indicated centerline b-b, when it is illuminated with white light. As can be clearly seen, the temperature profile is bell shaped, which unavoidably will cause uneven heating within the region where the channel structure is provided, thus causing the chemical reactions to run differently in said channel structure at different points.

25 It would be possible to enlarge the area such that its periphery is located sufficiently remote from the channel structure that the bell shaped temperature profile is "flattened" out to an extent that there will be a more uniform temperature across the reactor volume. However, in the first place this would require too much surface around the channel structure to be covered by the light-absorbing layer, and since there is a desire to provide a very large number of
30 channel structures close to each other, an enlarged area would occupy too much surface. Secondly, even if a very large area is provided the temperature profile would still exhibit a more or less clear bell shape, indicating non-uniform temperature over the channel structure defining the reaction volume.

In essence, it all comes down to enabling heating of a local area of a substrate containing a micro channel/chamber structure, in a controlled way, so as to achieve a uniform heating across the volume containing the liquid aliquot to be heated. This should be achieved at the same time as surrounding elements and materials should be as little affected as possible by the heating, i.e. preferably, areas immediately adjacent the heated region, e.g. comprising another part of the micro channel structure, should not be heated at all, in the ideal situation. It is of course desirable that the temperature is equal throughout the entire volume. In the case of the present invention implemented in small micro channels and heating at the surface closest to the micro channel, the inventive heating method and heating element structure, primarily ensures a uniform temperature level in the sense as defined above to be achieved across the surface of a selected area where the micro channel(s) is (are) located. The factual variations that may be at hand in the surface becomes smaller in any plane inside the selected area. The plane referred to is parallel with the surface. However, there will be a relatively large temperature drop through the thickness of the disc. This drop is typically of the order of 10°C. In spite of this, because the channel dimensions are so small, only about 1/10 of the thickness of the substrate, the temperature drop over the channel in this direction will be only about 1°C, which is acceptable for all practical purposes. This is illustrated in Fig. 3c. This relatively large temperature drop along the thickness of the substrate will assist in an efficient and rapid cooling of the heated liquid aliquot after a heating step. This becomes particularly important if the process performed comprises repetitive heating and cooling (thermal cycling) of the liquid aliquot. Cooling will be assisted by spinning the disc.

When a disc is rotated, the frictional forces will drag air at the surface of the disc. Thus, the air near the disc will rotate in the same direction as the disc. The rotation of the air will result in centrifugal forces that will cause the air to flow radially.

The flowing air will have a cooling effect on the surface of the disc, and in fact it is possible to control the rate of cooling very accurately by controlling the speed of rotation, given that the air temperature is known. This effect is utilized in the present invention, and is a key factor for the success of the heating method and system according to the invention.

It would be possible to obtain the same effect if one uses controlled air flow from a fan or the like, where the cooling effect can be varied by varying the speed of the fan. This method could be used for stationary systems where the regions, e.g. comprising micro channel structures, to be cooled are made in e.g. a flat substrate, which is non-rotary.

5

Most plastic materials, in particular transparent plastic materials are non-absorbing with respect to visible light but not to infrared. For microfluidic discs made of transparent polymeric materials, illumination with visible light will cause only moderate heating (if any at all), since most of the energy is not absorbed. One possibility to convert visible light to heat in a defined area or volume (selected area) is to apply a light absorbing material at the location where heating is desired.

10

Thus, in order to transform light to heat, such light absorbing material must be provided at the position where heating is desired. This can conveniently be achieved by covering the position or region with e.g. black color by printing or painting. When illuminated, the light absorbing material will become warm, and heat is transferred to the substrate on which it is deposited. Between the various spots of light absorbing material there may be a material reflecting the irradiation used. An alternative for the same kind of substrates is to cover one of the substrate surfaces with a light absorbing material and illuminating this surface through a mask only permitting light to pass through holes in the mask that are aligned with the selected areas.

15

20

For substrates made in plastic material that absorbs the radiation used, the surface may be coated with a mask that reflects the radiation everywhere except for the selected areas. Alternative the mask may be physically separated from the substrate but still positioned between the surface of the substrate and the irradiation source.

25

In accordance with the present invention, the area is given a specific lay-out that changes the temperature profile, from a bell shape to (ideally) an approximate "rectangular" shape, i.e. making the temperature variation uniform across the surface of the selected area or across a plane parallel thereto. One method is by a simple trial and error approach. For non-absorbing materials, a pattern of material absorbing the radiation is placed between the surface of the substrate and the source of radiation. Typically the material is deposited on the substrate. By using an IR video camera the temperature at the surface can be monitored. Another method

30

for arriving at said layout is by employing FEM calculations (Finite Element Method). Fig. 3 illustrates schematically the change in profile principally achievable by employing the inventive idea. The bell shaped profile A results with a light absorbing area A having the general extension as shown Fig. 3a, (the profile taken in the cross section indicated by the arrow a), and the "rectangular" profile results when employing a light absorbing region as shown by curve B in Fig. 3 (the profile taken in the cross section indicated by the arrow).

The most important feature of the temperature profile is that its upper (top) portion is flattened (uniform), thus implying a low variation in temperature across the corresponding part of the selected area. The "flanks", i.e. the side portions of the profile will always exhibit a slope, but by suitable measures this slope can be controlled to the extent that the profile better will approximate an ideal rectangular shape.

Now various embodiments of the present invention and different aspects thereof will be described with reference to the drawings.

In a first embodiment of the invention, electromagnetic radiation, for instance light, is used for heating a liquid present in a selected area of a substrate made of a plastic material not absorbing the radiation used for heating. In this case a surface of the selected area is covered/coated with a layer absorbing the radiation energy, e.g. light. As outlined in this specification the kind of radiation, plastic material and absorbing layer must match each other. The layer may be a black paint. The paint is laid out in a pattern of absorbing and non-absorbing (coated and non-coated) parts (subareas) on the surface of the selected areas. The term "non-absorbing part" includes covering with a material reflecting the radiation. In other variants of this embodiment, the layer absorbing the irradiation is typically within the substrate containing the micro channel. In the case quick and/or relatively high increase in temperature is needed, the distance between the layer absorbing the irradiation used and reactor volume at most the same as the shortest distance between the reactor volume and the surface of the substrate. A relatively high increase in temperature means up to below the boiling point of water, for instance in the interval 90-97°C and/or an increase of 40-50°C. The absorbing layer may also be located to the inner wall of the reactor volume.

- The first embodiment also includes a variant in which the substrate is made of plastic material that can absorb the electromagnetic radiation used. In this case a reflective material containing patterns of non-absorbing material including perforations is placed between the surface of the selected areas and the source of radiation. This includes that the reflective material for
- 5 instance is coated or imprinted on the surface of the substrate. Non-adsorbing patterns, for instance patterns of perforation, are selectively aligned with the surfaces of the selected areas. This variant may be less preferred because absorption of irradiation energy will be essentially equal throughout the selected area that may counteract quick cooling.
- 10 By the term "absorbing plastic material" is meant a plastic material that can be significantly and quickly heated by the electromagnetic radiation used. The term "non-adsorbing plastic material" means plastic material that is not significantly heated by the electromagnetic radiation used for heating.
- 15 The term "pattern" above means the distribution of both absorbing and non-absorbing parts (subareas) across a layer of the selected area, for instance a surface layer. The term excludes variants where the pattern only comprises one absorbing part covering completely the surface of the selected area.
- 20 The invention will now be illustrated by different patterns of absorbing materials coated on substrates made of non-absorbing plastic material. For substrates made of absorbing plastic material, similar patterns apply but the non-absorbing parts are replaced with a reflective material and the absorbing parts are typically uncovered.
- 25 As a first example let us consider a micro channel/chamber structure, a few examples of which are indicated in Fig. 4a-e. This kind of channel/chamber structures can be provided in a large number, e.g. 400, on a microfluidic disc 40 (schematically shown in Fig. 5a). All channel/chamber structures need not be identical, but in most cases they will be, for the purpose of carrying out a large number of similar reactions at the same time. If we assume
- 30 that all channel/chamber structures are identical, and that only one portion (e.g. a reaction chamber or a segment of a channel) of the channel/chamber structure needs to be heated during the operation, it will be convenient to provide the inventive heating element structure,

e.g. as in Fig. 3b, as concentric bands of paint 42, 44, as shown in Fig. 5b, or some other kind of absorbing material.

5 The provision of this basic band configuration is not an optimal solution, however, since the temperature profile still exhibits a slight fluctuation over the area to be heated. In a preferred embodiment therefore, there is provided several narrow bands b1, b2 of light absorbing material (paint) between the larger bands B1, B2, as schematically shown in Fig. 6a, which shows a broken away view of a disc 40 having a plurality of channel structures 46, 48, 50. In Fig. 6b the corresponding temperature profile achievable with this band configuration is
10 shown. In this example it is the part of the micro channel structure delimited by the square A (Fig 6a) that it is desired to heat in a controlled manner.

The heating element structure described above is applicable to all channel/chamber structures shown in Fig. 4.

15 However, for certain applications it can be desirable to provide even more localized heating, e.g. of a circular or rectangular/square area. This would especially be required if adjacent or surrounding areas must not be heated at all. The embodiment with concentric bands of paint will result in heating also of the areas between the radially extending micro channel/chamber
20 structures.

In Fig. 7a there is shown a micro channel/chamber structure 70 with a circular chamber with an inlet 71 and an outlet 72 channel. If it is important to avoid heating of the disc area surrounding the chamber, a heating element structure as shown in Fig. 7b can be employed,
25 comprising concentric bands B1, b2 and a center spot c1. In this case the temperature profile will be the same in all cross sections through the center of the micro channel/chamber structure, and look something like the profile of Fig. 7c.

In Fig. 8a-c a similar channel structure, but applied to a rectangular chamber is shown. Fig. 8c
30 shows the temperature profiles C1, C2 in directions c1 and c2 of Fig. 8b, respectively.

For the illumination, lamps of relatively high power is used, suitably e.g. 150 W. Suitable lamps are of the type used in slide projectors, since they are small and are provided with a

reflector that focuses the radiation used. The irradiation can be selected among UV, IR, visible light and other forms of light as long as one accounts for matching the substrate material and the absorbing layer properly. In case the lamp gives a desired wave-length band but in addition also wavelengths that cause heat production within the substrate it may be
5 necessary to include the appropriate filter. In order to achieve the best results the light should be focussed onto the substrate corresponding to a limited region on the substrate, e.g. about 2 cm in diameter, although of course the size may be varied in relation to the power of the lamp etc. One or more lamps could be used in order to enable illumination of one or more regions, e.g. in the event it is desirable to carry out different reactions at different locations on a
10 substrate. On a rotating disc it might be desirable to perform heating at different radial locations. Illumination of the substrate can be from both sides. If the light absorbing material is deposited on the bottom side, nevertheless the illumination can be on the topside, in which case light is transmitted through the substrate before reaching the light absorbing material. Illumination of the backside with material deposited on the topside is also possible.

15 In view of the spinning speed of a rotating microfluidic disc being as high as of the order of 1000 rpm, the pulsing effect obtained in this way will not be noticeable and the heating can for all practical purposes be considered as continuous.

20 The above described embodiments have employed light absorbing material to provide the heating elements, but it is within the scope of the invention to employ any heating element structure in a suitable pattern that is capable of generating heat. Thus, it is also contemplated to provide areas of a resistive material 91, 92 in the same general layouts as shown in Fig. 7-8. Examples thereof applied to the same channel structures as those in Figs. 7-8 are shown in
25 Fig. 9a-b.

The patterns are applied e.g. by printing of ink comprising conductive particles, e.g. carbon particles mixed with a suitable binding agent, using e.g. screen printing techniques. Patterns functioning in the same way may also be created by the following steps

- 30 (a) covering the surface of a substrate made of non-absorbing material with absorbing material and

(b) placing a reflective mask which contains patterns of holes or of non-absorbing material between the surface of the substrate and the source of the radiation with the individual patterns being aligned with the surfaces of the selected areas.

5 Another aspect that should be considered for the performance is the effect of cooling from the air flowing on the disc when it is rotated. Let us consider the configuration shown in Fig. 6 again. By the spinning action air will be forced radially outwards over the surface of the disc and will thereby cool the surface by absorbing some heat, such that the air is also heated. Thus, the air temperature will be higher towards the periphery of the disc, and the non-coated
10 (non-painted) area between the bands of light absorbing material nearest the periphery will therefore not be as efficient in terms of decreasing the temperature as the non-coated/non-absorbing area between the bands of light absorbing material closer to the center.

In order to compensate for this phenomenon, the width of the non-coated areas can be larger
15 nearer the periphery than the width of those nearer the center.

Normally the rotatable disc comprises a base portion having a top and a bottom side, on the top side of which said micro channel structure is provided, and on top of which a cover is provided so as to seal the micro channel structure. The heating elements (layer absorbing
20 radiation energy) are preferably provided on the top surface so as to cover the selected area to be heated. However, said light absorbing layer can also, as an alternative, be provided on said bottom side.

In still another embodiment the heating element structures according to the invention can be
25 applied to stationary substrates, i.e. chip type devices. In case of stationary substrates it will be necessary to use forced convection, e.g. by using fans or the like to supply the necessary cooling. In all other respects the micro channel/chamber structures and heating structures can be identical.

30 As mentioned above the flanks of the temperature profile exhibits a certain slope, which has as a consequence that an area surrounding the part of the micro channel structure that is to be heated, will also be heated. This is because the substrate material adjacent the region which is coated will dissipate heat from the area beneath the coating. One way of reducing this heat

dissipation is to reduce the cross section for heat conduction. This can be done by providing a recess 93 in the substrate 94 on the opposite side of the coating 95 along the periphery of said coating as shown in Fig. 10a. In this way the resistance to heat being conducted away from the coated region will be increased. Another way to obtain a similar result is to provide holes
5 96 instead of said recess, but along the same line as said recess, as shown in Fig. 10b.

In the present invention it is used to an advantage that the heat conductivity of the substrate material, e.g. polymer, is poor. Thus, when the reaction volume is heated by using the inventive heating structure, the heat will not easily dissipate into the surrounding regions.
10 Therefore, when the reaction inside the heated volume takes place and if/when evaporation of liquid in the reaction volume occurs, any vapors formed, striving to move upstream in the micro channel structure, will experience a cooler part of the channel, and will rapidly condense to liquid. In the case of a rotating disc system, the imposed gravity will then force the liquid droplets back into the reaction volume, and thereby reaction conditions will be
15 controlled in terms of keeping the sample volume variation within acceptable limits (i.e. negligible or no loss of sample due to evaporation), and also the concentration of sample will be controlled to a reasonable extent (solvent will reflux into the reaction volume). If a stationary chip type system is used, pressure can be applied to force the condensed vapors to flow back into the reaction volume.

20 One further aspect of the invention is an instrument comprising a rotatable disc as defined in any of claims 27-29 and a spinner motor with a holder for the disc, said motor enabling spinning speeds that are possible to regulate. Typically the spinning of the motor can be regulated within an interval that typically can be found within 0-20 000 rpm. The
25 instrumentation may also comprise one or more detectors for detecting the result of the process or to monitor part steps of the process, one or more dispensers for introducing samples, reagents, and/or washing liquids into the micro channel structure of the substrate together with means for other operations that are going to be performed within the instrument.

30 One additional aspect of the invention is a method for performing a reaction at elevated uniform temperature in one or more reaction mixtures (liquid aliquots). This aspect is characterized in comprising the steps of:

- (i) providing a rotatable microfluidic disc as defined in any of claims 27-29;

- (ii) introducing said one or more reaction mixtures into separate reaction volumes in the microfluidic disc;
 - (iii) supplying energy to the heating structure of the microfluidic disc to increase the temperature in the reaction mixtures to said elevated temperature and maintaining the temperature at the elevated level for a sufficient time for the intended reaction to take place;
 - (iv) possibly reducing the temperature,
 - (v) transferring each reaction mixture further downstream in the micro channel linked to the reactor volume in which the mixture has been processed,
- 10 with the provision that at least steps (iii) and (iv) are carried out while spinning the disc with the spinning speed being higher during step (iv) compared to during step (iii) and/or the energy input to the heating structure being lower during step (iv) than during step (iii).
- 15 Although the invention has been described with reference to the drawings, but it should not be regarded as limited to the shown embodiments, the scope of the invention being defined by the appended claims. Thus, modifications and variations beyond the illustrated examples are within the scope of the claims.

C L A I M S

1. A method of providing a desired temperature profile across a selected area of a substrate,
5 **characterized by** comprising the steps of
 (i) providing a heating structure defining
 - a) said selected area to be heated on said substrate, and
 - b) the desired temperature profile across said selected area; andsaid heating structure comprising a material provided on said substrate, the material
10 being capable of transferring heat into said selected area when suitably energized;
 (ii) supplying energy to the substrate, whereby the presence of said heating structure
 causes essentially only the selected area to be heated.
- 15 2. The method as claimed in claim 1, further comprising providing cooling by a flow of air
 over said substrate.
- 20 3. The method as claimed in claim 1 or 2, wherein the substrate is a rotatable disc, said
 heating structure is provided as a pattern of areas of a material capable of absorbing
 electromagnetic energy, and wherein heat energy is supplied by irradiation of the disc
 using a source of electromagnetic radiation, preferably a light source.
- 25 4. The method as claimed in claim 3, wherein the desired temperature profile is provided by
 an appropriate lay-out of said absorbing material in a pattern comprising alternating areas
 of said material and transparent areas.
- 30 5. The method as claimed in claim 1 or 2, wherein heat energy is supplied by irradiation of
 the disc using a source of electromagnetic radiation, preferably a light source, and
 wherein said heating structure is provided by 1) a separate mask element, inserted
 between the substrate and said light source, and 2) by a material covering the substrate,
 and being capable of absorbing electromagnetic energy.

6. The method as claimed in any of claims 3-5, comprising spinning the disc and illuminating the disc, the light being focussed onto the substrate corresponding to a limited region on the substrate.
- 5 7. The method as claimed in claim 1, wherein the substrate is a stationary substrate.
8. The method as claimed in claim 7, wherein the controlled flow of air is provided with a fan.
- 10 9. The method as claimed in any of claims 2-6, further comprising changing the temperature by changing the speed of rotation of said disc and/or by reducing the energy of the electromagnetic radiation.
- 15 10. The method as claimed in any preceding claim, wherein said selected area comprises a micro channel/chamber structure for carrying out chemical and/or biochemical and/or biological reactions and/or analyses.
- 20 11. A micro channel reactor system for creating and maintaining an essentially uniform temperature profile in a selected reaction volume in said reactor system, comprising a substrate (40) having at least one micro channel structure (20), the micro channel structure comprising one or more micro channels;
characterized by comprising
a heating structure (42, 44; b1, b2, B1, B2; B1, b2, c1) defining
a) the selected area to be heated on said substrate, and
25 b) the desired temperature profile across said selected area;
wherein said heating structure comprises a material provided on said substrate, the material being capable of transferring heat into said selected reaction volume when suitably energized, said regions of said material being provided on at least one side of said substrate.
- 30 12. The reactor system as claimed in claim 11, wherein said material is laid out in a pattern that causes heating and cooling to balance each other, so as to create said uniform temperature profile.

13. The reactor system as claimed in claim 11 or 12, wherein said substrate is a rotatable disc.
14. The reactor system as claimed in claim 13, wherein said material capable of transferring
5 heat into said selected reaction volume when suitably energized is provided as concentric
bands (b1, b2, B1, B2) on said disc.
15. The reactor system as claimed in claim 14, wherein the inner and outer bands (B1, B2)
are wider than the intermediate bands (b1, b2).
10
16. The reactor system as claimed in any of claims 11-15, wherein said material is a material
capable of absorbing electromagnetic radiation.
17. The reactor system as claimed in any of claims 13-15, wherein said channel structure has
15 a generally radial extension on said disc.
18. The reactor system as claimed in claim 13, wherein said rotatable disc comprises a base
portion having a top and a bottom side, on the top side of which said micro channel
structure is provided, and on top of which a cover is provided so as to seal the micro
20 channel structure, and wherein said light absorbing material is provided on said bottom
side or on said top side.
19. The reactor system as claimed in any of claims 11 or 17-18, wherein said heating
structure comprises a separate member disposed so as to mask electromagnetic radiation
25 directed towards the surface of the substrate, and having openings defining said pattern,
and wherein said light absorbing material is provided over essentially the entire surface of
each selected region to be heated.
20. The reactor system as claimed in any of claims 11-15, wherein said material is a resistive
30 material capable of generating heat when energized with electricity.
21. A heating structure for enabling the generation of an essentially uniform temperature
across a selected area on a substrate, said structure comprising a plurality of regions of a

material forming heating elements (42,44; b1, b2, B1, B2; B1, b2, c1) capable of transmitting heat into said selected area when suitably energized, said regions being provided over said selected area as a heating element structure defining

a) the selected area to be heated, and

5 b) the desired temperature profile; and wherein

the plurality of regions of a material is laid out in a pattern that causes heating and cooling to balance each other, so as to create said uniform temperature profile.

10 22. The heating structure as claimed in claim 21, wherein said heating elements are areas of a layer of a light absorbing material.

23. The heating structure as claimed in claim 21, wherein said heating elements are areas of a resistive material that generates heat when a voltage is applied/a current is driven therethrough.

15 24. The heating structure as claimed in any of claims 21-23, wherein said heating elements are provided as concentric bands of said light absorbing material or of said resistive material, said concentric bands covering the selected area to be heated.

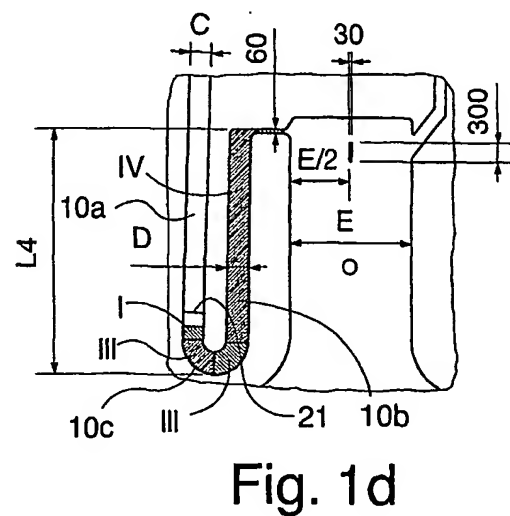
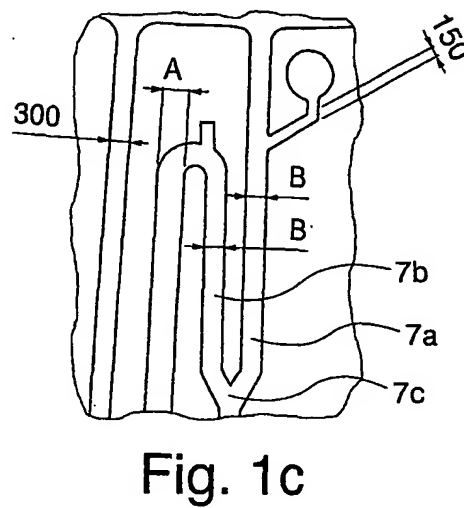
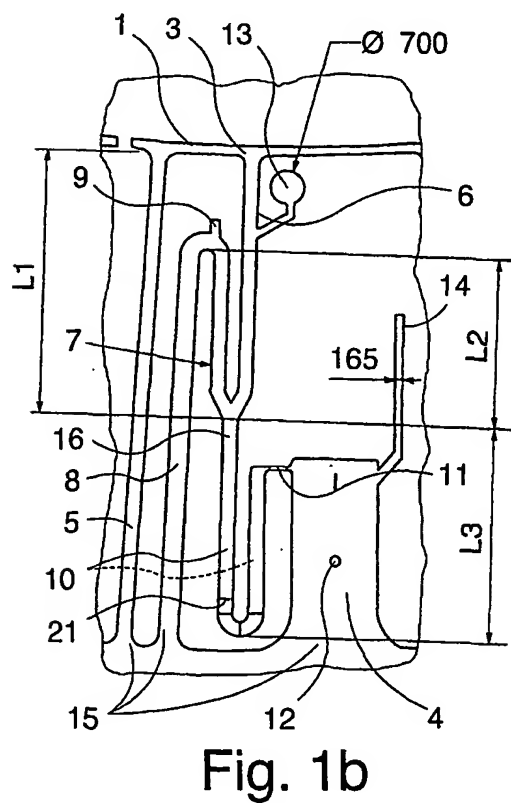
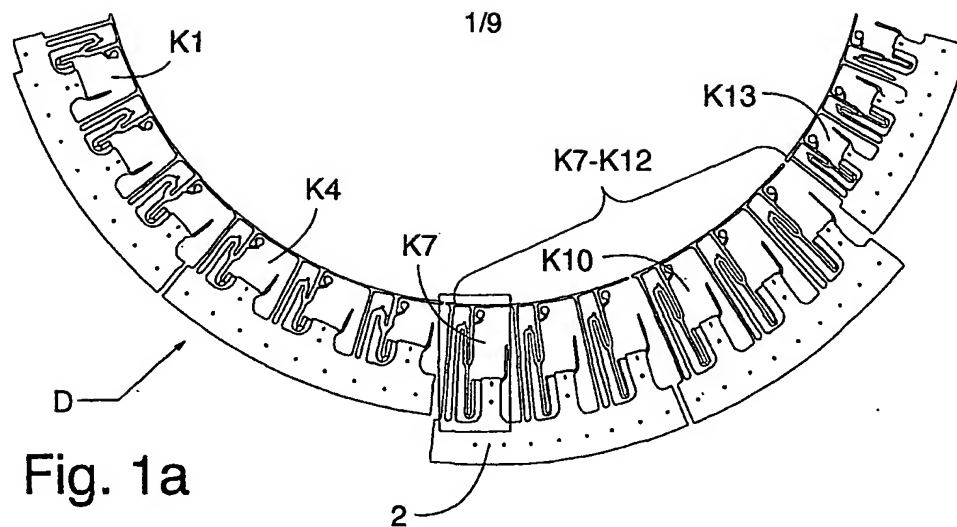
20 25. The heating structure as claimed in claim 22, wherein said light absorbing material is provided on said substrate in varying thickness over said selected area, the thickness variation defining said temperature profile.

25 26. The heating structure as claimed in claim 22, wherein said light absorbing material is provided on said substrate as dots in a pattern of varying dot density, said density variation defining said temperature profile.

27. A rotatable disc comprising a micro channel reactor system according to any of claims 11-20.

30 28. The disc as claimed in claim 27, further comprising recessed portions (93) in the substrate such that the material thickness at the periphery of the selected regions is smaller than the nominal thickness of the substrate.

29. The disc as claimed in claim 27, further comprising holes (96) in the substrate at the periphery of the selected regions.



2/9

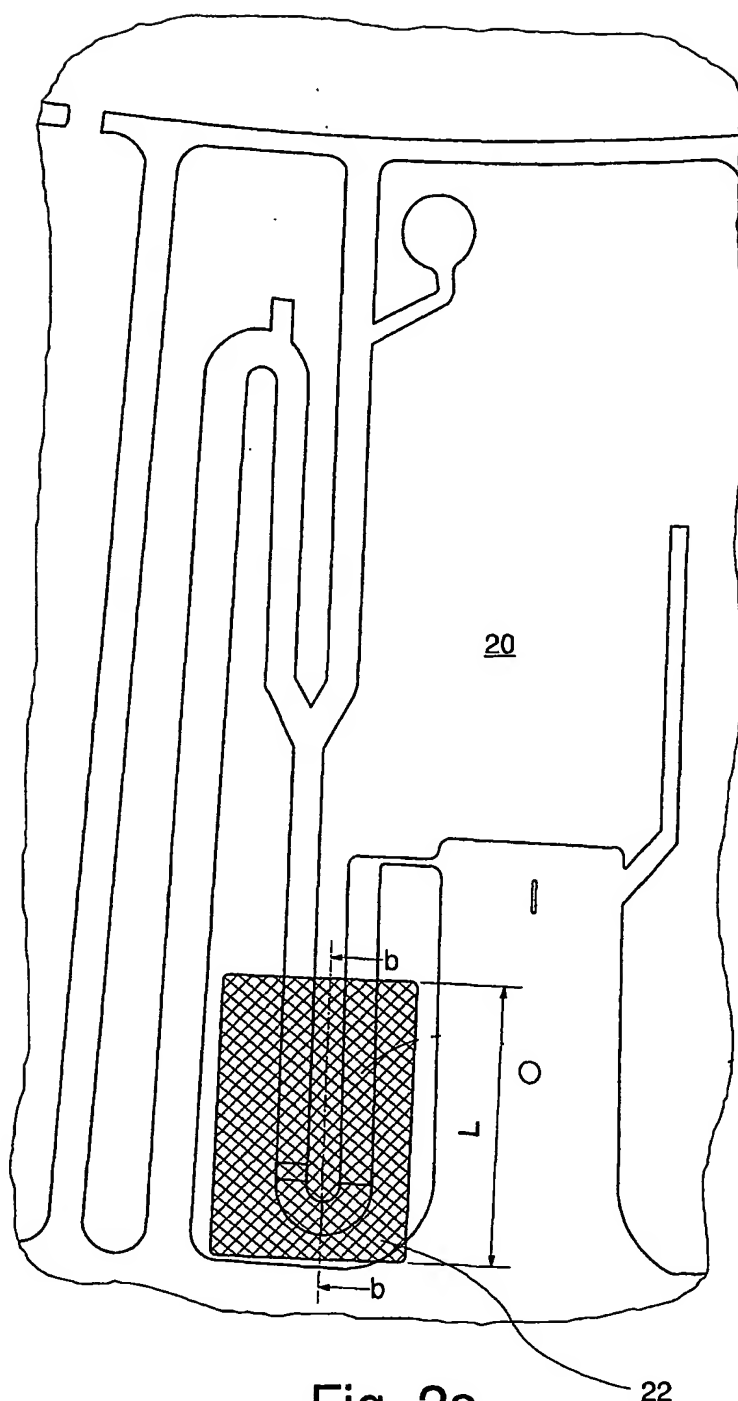


Fig. 2a

3/9

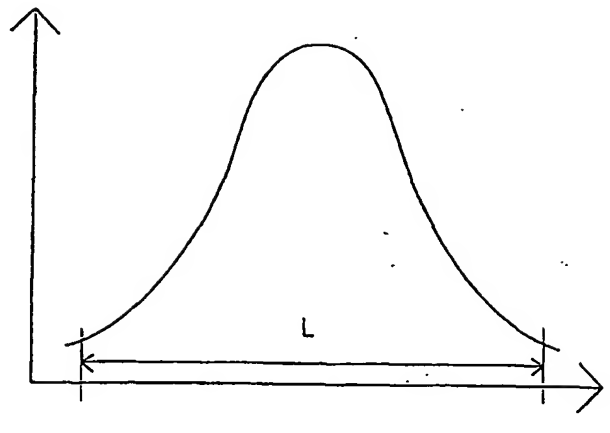


Fig. 2b

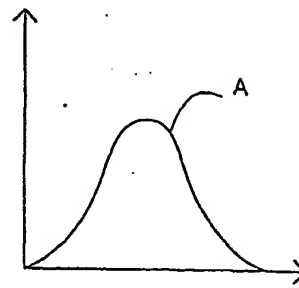
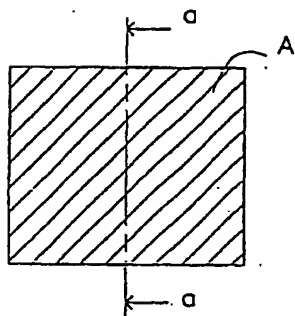


Fig. 3a

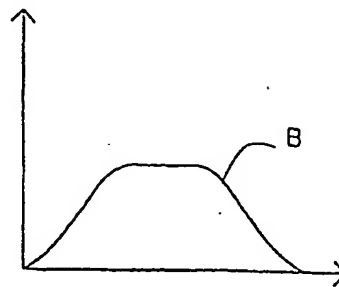
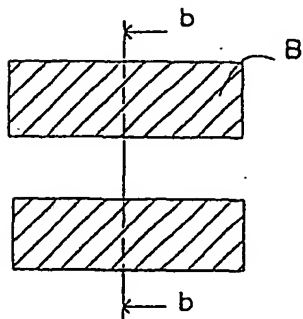


Fig. 3b

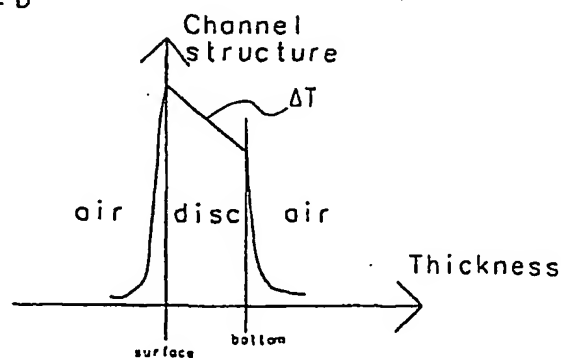
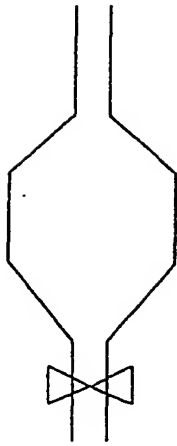
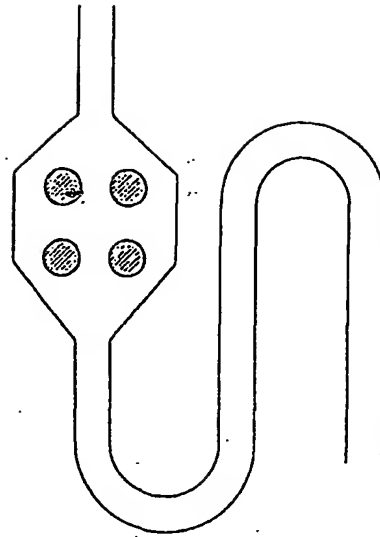


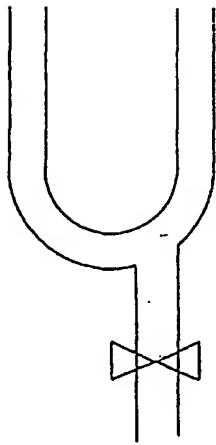
Fig. 3c



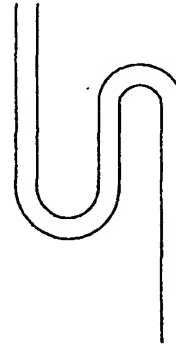
a)



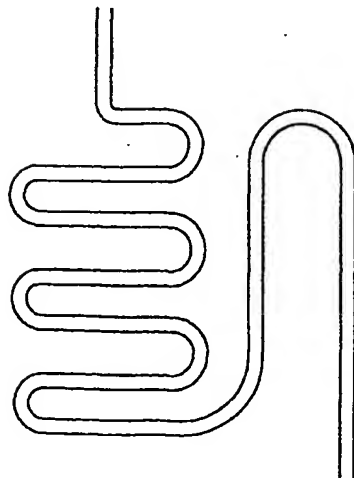
b)



c)



d)



e)

Fig. 4

5/9

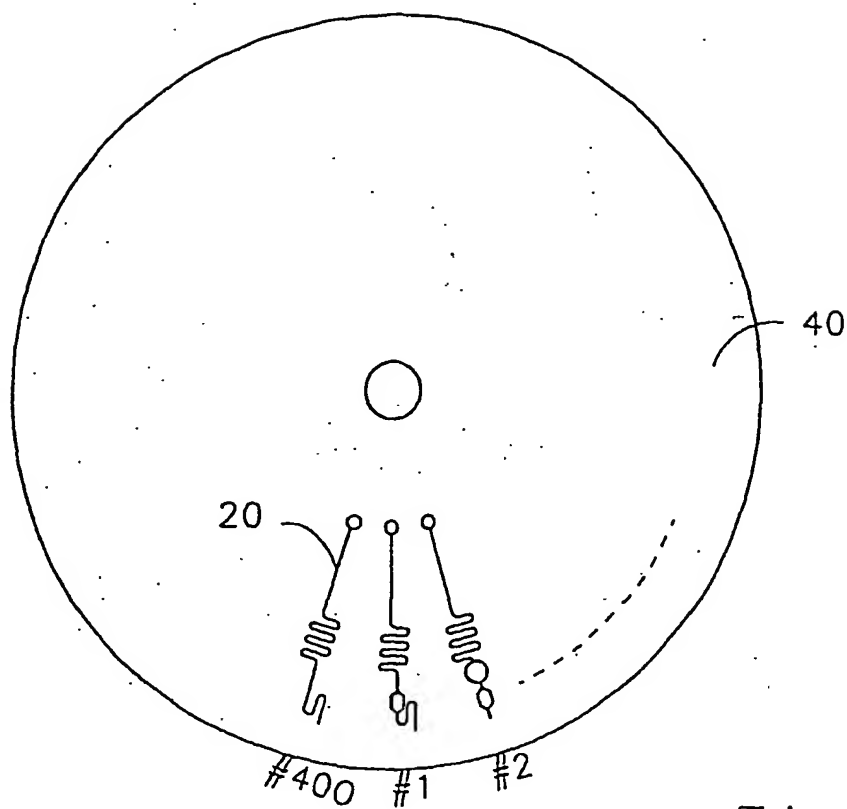


Fig. 5a

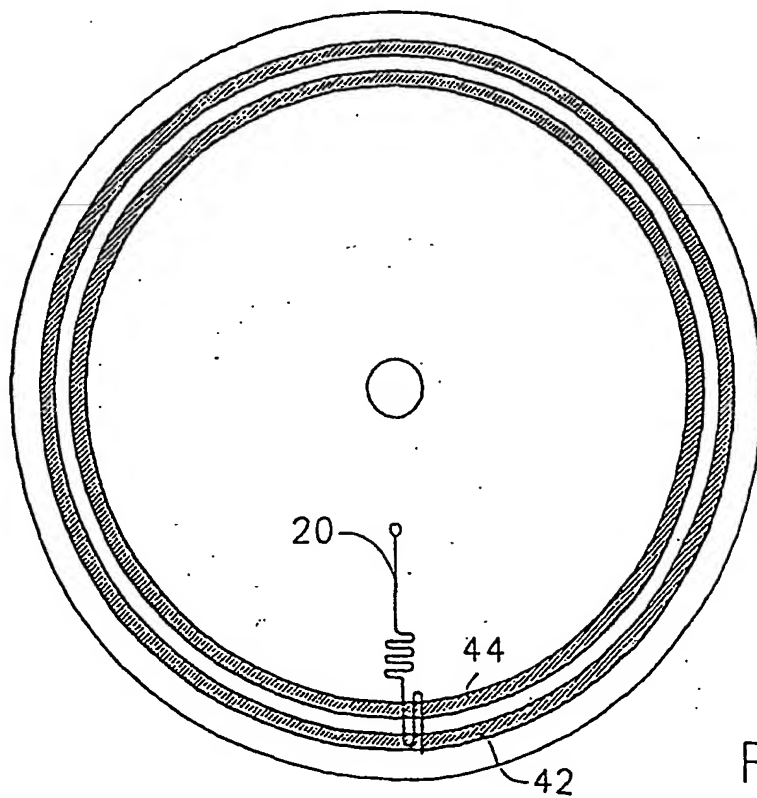


Fig. 5b

6/9

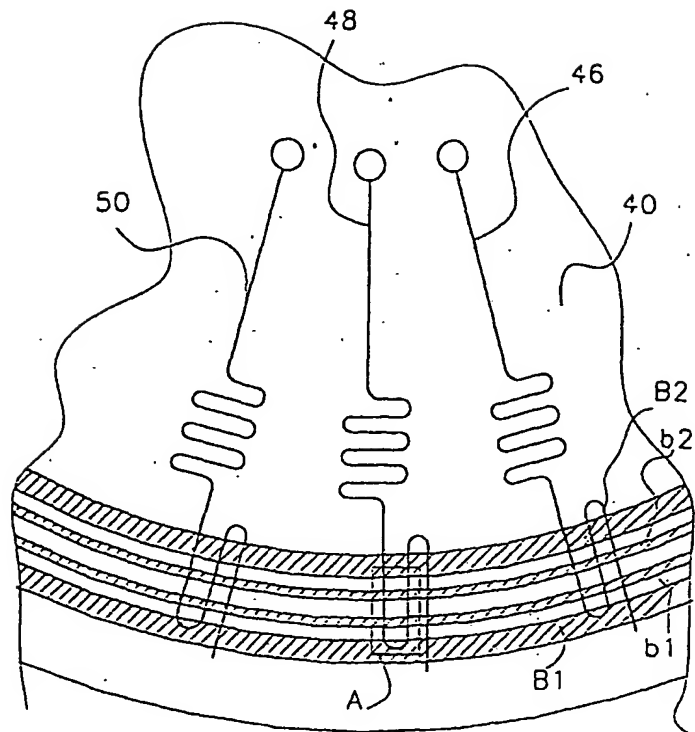


Fig. 6a

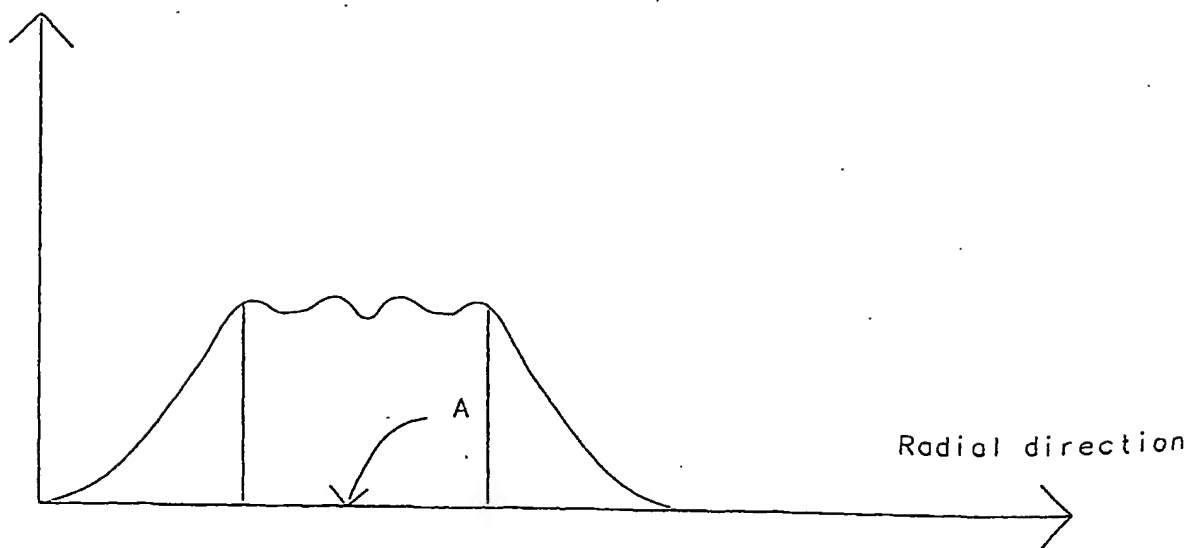


Fig. 6b

7/9

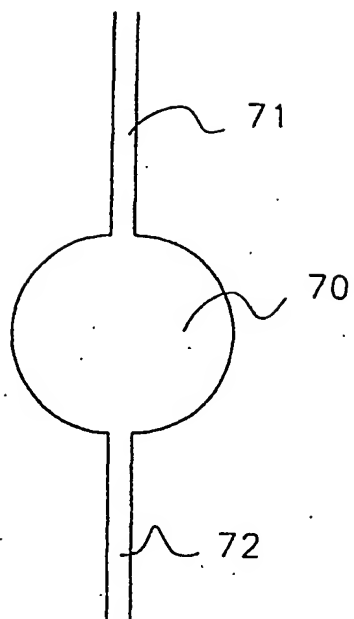


Fig. 7a

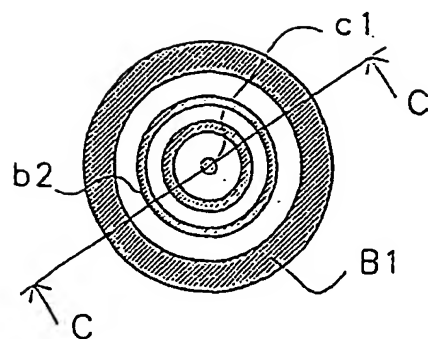


Fig. 7b

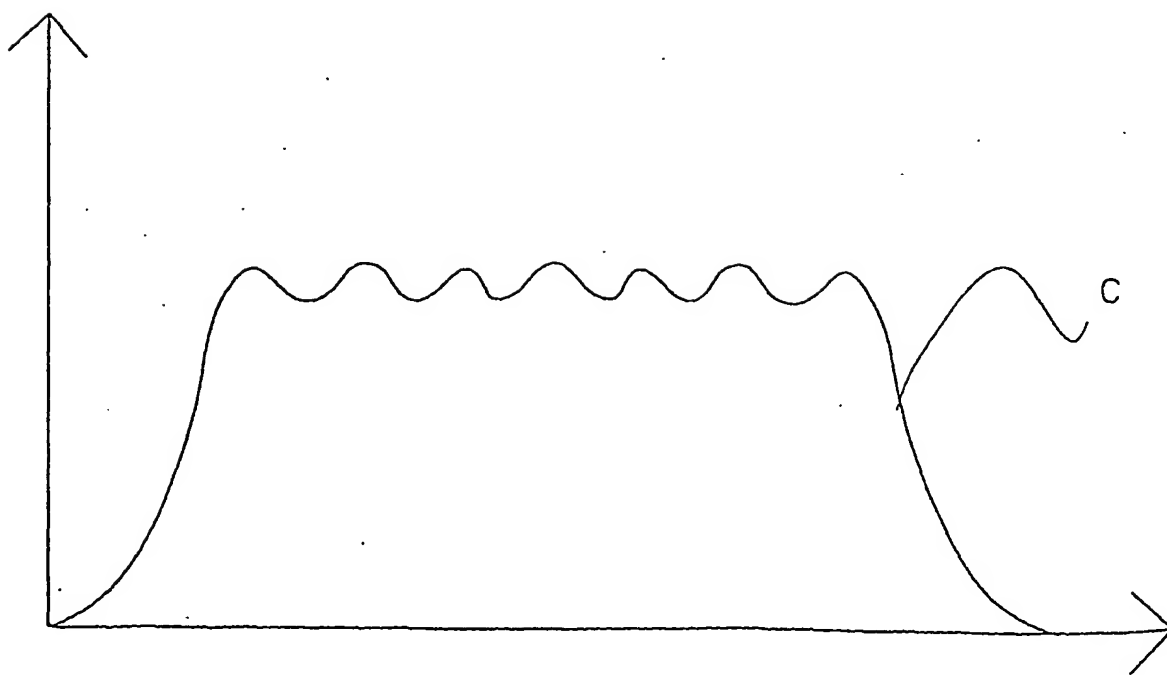


Fig. 7c

8/9

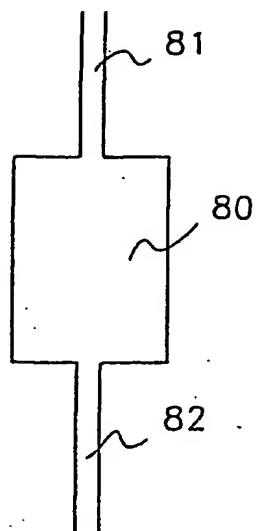


Fig. 8a

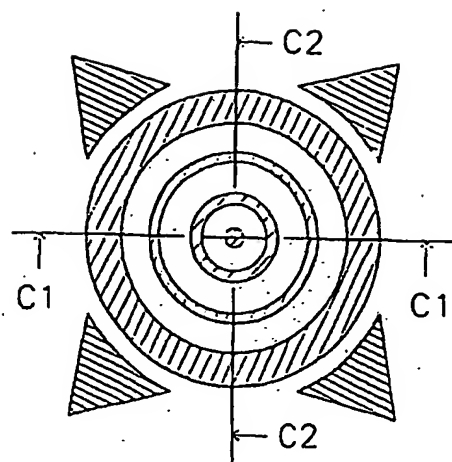


Fig. 8b

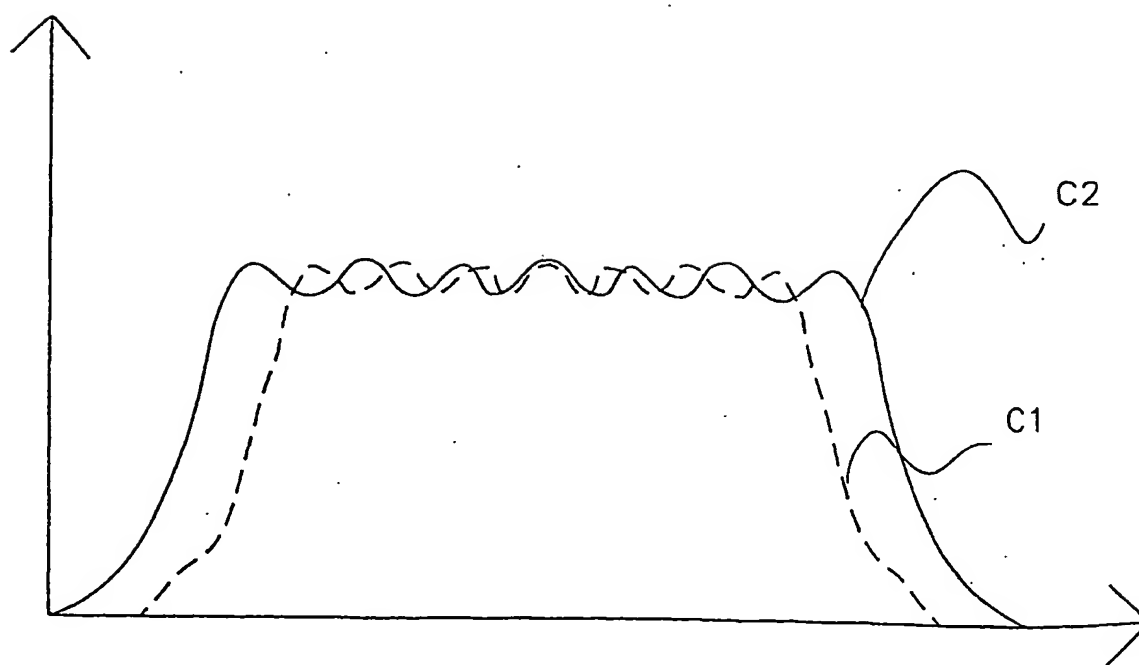


Fig. 8c

9/9

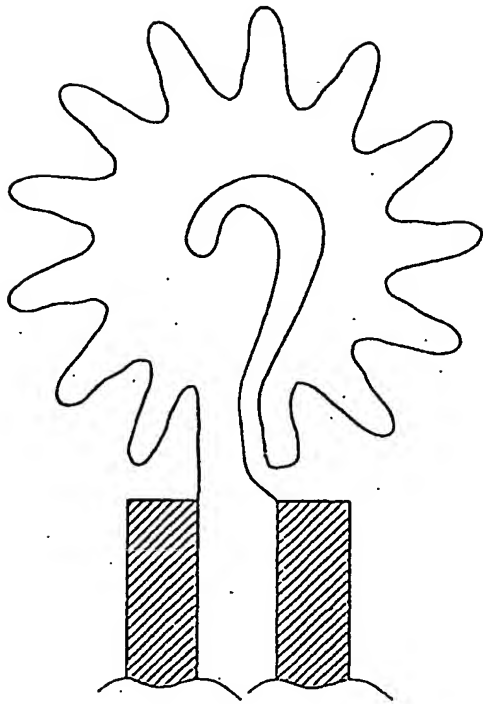


Fig. 9a

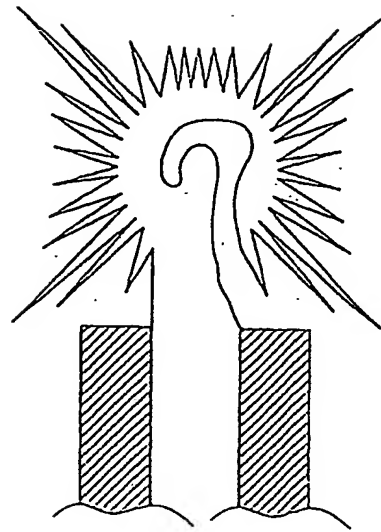


Fig. 9b

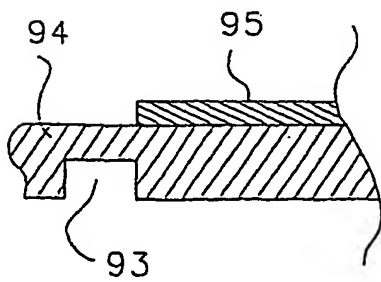


Fig. 10a

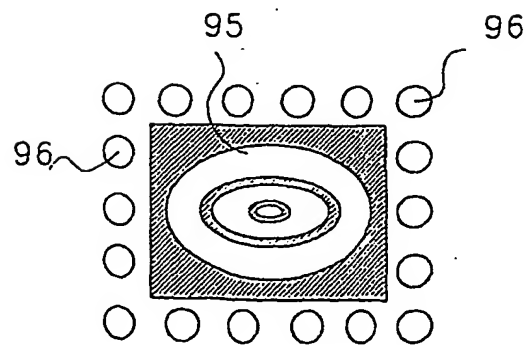


Fig. 10b

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/02607

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: B01L 3/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: B01L, G01N, B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI DATA, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 0067907 A2 (ACLARA BIOSCIENCES, INC.), 16 November 2000 (16.11.00), page 8, line 6 - line 6; page 20, line 10 - line 16; page 26, line 22 - line 27, abstract, rad 8, sidan 30, rad 22-sidan 30 rad 31 -----	1-29



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

26 February 2002

Date of mailing of the international search report

01-03-2002

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Mats Raidla /itw

Telephone No. +46 8 782 25 00

28/01/02

PCT/SE 01/02607

Form PCT/ISA/210 (patent family annex) (July 1998)